

Restraint and Seclusion Complaint Form

A restraint and seclusion complaint is a formal request to the Ohio Department of Education and Workforce to investigate **potential violations of requirements of Ohio Administrative Code**¹ regarding restraint and seclusion of students **that have occurred no more than one year prior to the date the complaint is received by the Department.**

The use of this model form is not required; however, a complaint must contain the following information to be considered sufficient:

1. Name, address, phone number and email address, if applicable, of the parent.
2. Name and address of the child involved.
3. Name of the school district and school the child attends.
4. One or more allegations (problems/concerns) the school is not following. Include the rule about physical restraint and seclusion that is not being followed. The problems/concerns must have occurred not more than one year prior to the date the complaint is received by the Department.
5. The Department **can investigate allegations about the following:**
 - a. Training and professional development for the use of crisis management and de-escalation techniques, which include restraint and seclusion.
 - b. Policies and procedures about restraint and seclusion.
 - c. Monitoring and reporting restraint and seclusion.
 - d. Multiple incidents of restraint and seclusion without a meeting to discuss interventions.
6. The complaint must include the following information:
 - a. Facts and/or a description of the events that support each problem/concern.
 - b. Proposed resolution of the problem or relief sought (to the extent known and available to the person filing the complaint).
 - c. Statement that shows this form, including attachments, have been mailed or hand delivered to the school district.
 - d. Signature of the parent filing the complaint.

The Department does not have the authority to investigate allegations about the following:

- Positive Behavioral Interventions and Supports (PBIS) professional development requirements.
- Allegations about injuries related to a restraint or seclusion.
- If a prohibited restraint was used with a child (such as chemical, mechanical, or prone restraints).
- If a prohibited seclusion was used with a child (such as seclusion occurring in a locked room or a child left unattended in a seclusion).
- Allegations of child abuse or neglect.
- Allegations of retaliation.
- Allegations of racism or discrimination.

If the complaint involves any of the above issues, contact the following:

1. Issues about PBIS training requirements:
 - a. The Ohio Department of Education and Workforce's Office of Whole Child Supports:
Phone: (614) 644-6812

¹ Parts G-K of this rule address multiple incidents of restraint and seclusion, training, and professional development for the use of crisis management and de-escalation techniques, which include the use of restraint and seclusion, policies and procedures, monitoring, and reporting.

Email: pbisohio@education.ohio.gov

2. Issues about injuries related to a restraint or seclusion, child abuse or neglect, or prohibited use of restraint and seclusion:
 - a. Ohio Department of Job and Family Services:
Phone: 855-O-H-CHILD (855-642-4453)
Online: [Directory of county departments of Job and Family Services](#)
 - b. State Board of Education's Office of Professional Conduct:
Phone: (614) 466-5638 or (877) 644-6338 (toll free)
Fax: (614) 995-3752
Email: Educator.conduct@sboe.ohio.gov
Online: [Citizen Reporting Form](#)
 - c. Local Law Enforcement Agency
3. For issues of retaliation, racism, or discrimination:
 - a. Office for Civil Rights:
Phone: (800) 368-1019
Email: OCRMail@hhs.gov
Online: [Filing a Complaint | HHS.gov](#)

Those needing **assistance filing a complaint** may contact the following:

1. Disability Rights Ohio
Phone: (614) 466-7264 or (800) 282-9181 (toll free in Ohio)
Deaf or hard of hearing callers, please use Ohio Relay Service at (800) 750-0750
[Disability Rights Ohio Online Intake Form](#)
2. Ohio Coalition for the Education of Children with Disabilities
Phone: (740) 382-5452 or (844) 382-5452 (toll free)
Website: www.ocecd.org

Direct general questions about the restraint and seclusion complaint process to:

Ohio Department of Education and Workforce's Office for Exceptional Children:
Phone: (614) 362-1494 or (877) 644-6338 (toll free)
Email: PBIS_Restraint_Seclusion_Questions@education.ohio.gov

Examples of Alleged Violations, Supporting Facts and Proposed Resolutions

Describe each problem or concern (alleged violation), what happened (supporting facts), and how the school can fix the problem or concern (proposed resolution). See the examples below.

Allegation	Supporting Facts	Proposed Resolution
School staff secluded my child and did not tell me about it.	The principal placed my child alone in a room. The principal held the door shut from the inside and my child could not leave the room. The principal did not tell me this had happened.	Provide training to the principal on the use of seclusion and parent notification requirements.
My child was restrained four times, but the school never had a meeting with me about it.	I called the teacher to find out why a meeting was not set up after my child had been restrained so many times. The teacher told me we didn't have to meet.	Set up a meeting to see if my child needs a behavior plan or evaluation for additional services.
My child was restrained by someone who was never trained.	My child told me that Mr. Smith restrained my child. Mr. Smith is a substitute teacher and did not get training to restrain.	Make sure all staff, including Mr. Smith, receive training.
The teacher called me today to say my child was secluded, but I never received a write-up about this.	The teacher called me and let me know my son was secluded. I never received a written report about this.	Provide me with the documents about my son's seclusion.

Parent/Guardian Information (Please print or type)

Name: _____

Address: _____

City, State, and ZIP Code: _____

Phone number (Home): _____

Phone number (Cell): _____

Email: _____

Preferred method of contact:

By Phone: Cell Home Work

Preferred time(s) to contact: _____

Email: _____

Student Information

Student's name: _____

Date of birth: _____

Address (if different from complainant): _____

District/Community School: _____

School building: _____

Grade level: _____

Is your child open enrolled? No Yes

Does your child have an IEP or 504 plan? No Yes

Area of identified or suspected disability _____

Complaint Information

Date of the violation(s):² _____

Name and title of school officials you have contacted regarding these issues:

(1000-character limit)

Provide a description of the violation, including facts related to the problem.

(1000 character limit)

Check here if you have included any additional documentation. Include as a written or typed addendum.

² Per Ohio Administrative Code 3301-35-15 (L)(3)(a) [Complaint process], the date of the alleged violation cannot be more than one year prior to the date that you are filing the complaint.

Describe your attempts to resolve the current concern(s):

(1000-character limit)

Provide a proposed resolution to the problem.³

(1000-character limit)

³ The proposed resolution will be taken into consideration; however, the final resolution of the complaint will be determined by the Ohio Department of Education and Workforce.

Signature

I understand I will be contacted by the Ohio Department of Education and Workforce to:

- Clarify and review my complaint facts; and
- Request submission of additional information or documentation to support my statements (if needed).

Signature: _____ Date: _____

NOTE: This form must be signed by the parent for the Ohio Department of Education and Workforce to investigate.

Please check the box to confirm you have sent a copy of this complaint to the superintendent of the school district the complaint is being filed against.

Mail signed complaints to the following address:

Ohio Department of Education and Workforce

Attn: Assistant Director of Dispute Resolution

25 South Front Street, 4th Floor, MS 409

Columbus, OH 43215

or

Email signed complaints to:

OECComplaints@education.ohio.gov

If you have questions regarding the completion of this form or the complaint process, please contact the Ohio Department of Education and Workforce, Dispute Resolution at OECComplaints@education.ohio.gov or (877) 644-6338.