



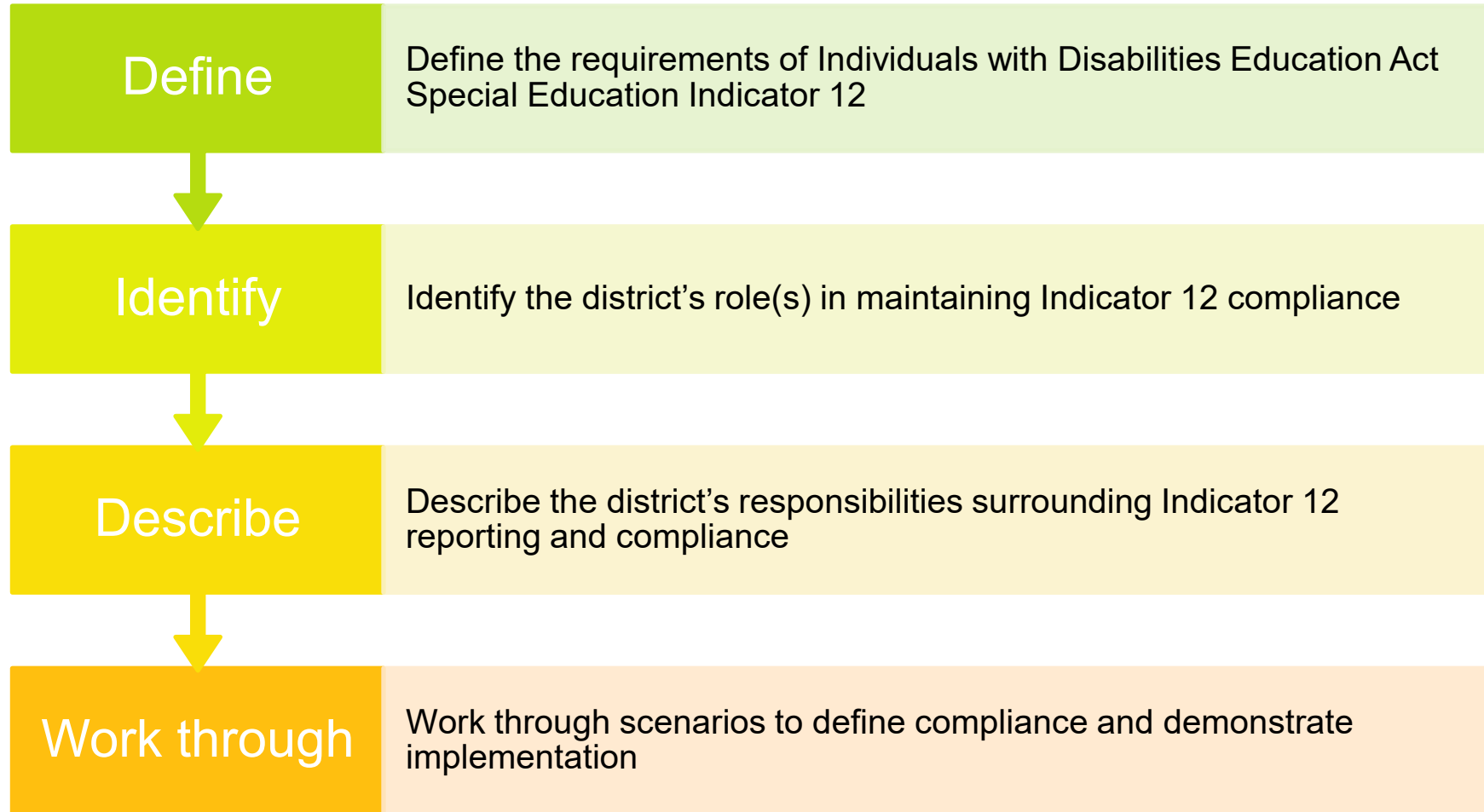
Indicator 12 Compliance:



Transition from Early Intervention to Preschool Special Education



Learner Objectives





Federal Requirements



**SPECIAL EDUCATION
INDICATORS**



**INDICATOR 12-
COMPLIANCE INDICATOR**



**REFLECTED ON YEARLY
SPECIAL EDUCATION
PROFILE**



Why is this important?

Promote children's learning

Detail service delivery options

Create inter-agency agreements

Maintain compliance



Role of the district



**DISTRICT IS NOTIFIED AT
LEAST 90 CALENDAR
DAYS PRIOR TO CHILD'S
THIRD BIRTHDAY**



**IF INVITED
MUST ATTEND
PARTICIPATE**

Preschool Transition from Part C to Part B Optional Form

District:	
Child's Name:	
Child's Date of Birth:	
Date of 150 days prior to 3 rd birthday:	
Date of First Notification from Part C to District:	
Date of Transition Conference:	
Location of Transition Conference:	

Actions taken by District:

- Referral (Attach PR04), Date of Referral: _____ (DAC 3301-51-11(D)(3)(b))
- Parent Consent (Attach PR05)
- PR-01- No suspected disability (Attach PR01)

Signatures documents (for audit purposes) that the district representative attended the Preschool Transition Conference.

	Print Name	Signature	Date
District Representative			
EI Service Coordinator			
Parent			

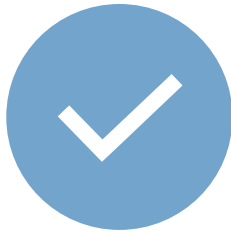
Other Attendees:

ER

The Preschool Transition from Part C to Part B Optional Form may be used to document the district's participation in the TPC and to track important dates



Transition Planning Conference Timeline



Early Intervention conducts initial evaluation & holds Individual Family Service Plan meeting



Transition notification sent to district = invitation to TPC



Determining Preschool Special Education is shared between Part C and Part B



Individualized Education Program **MUST** be developed and implemented on or before 3rd birthday

Interagency Agreements



Transition Planning Conference Timeline



CENTRAL INTAKE REFERS FAMILY TO THEIR DISTRICT OF RESIDENCE



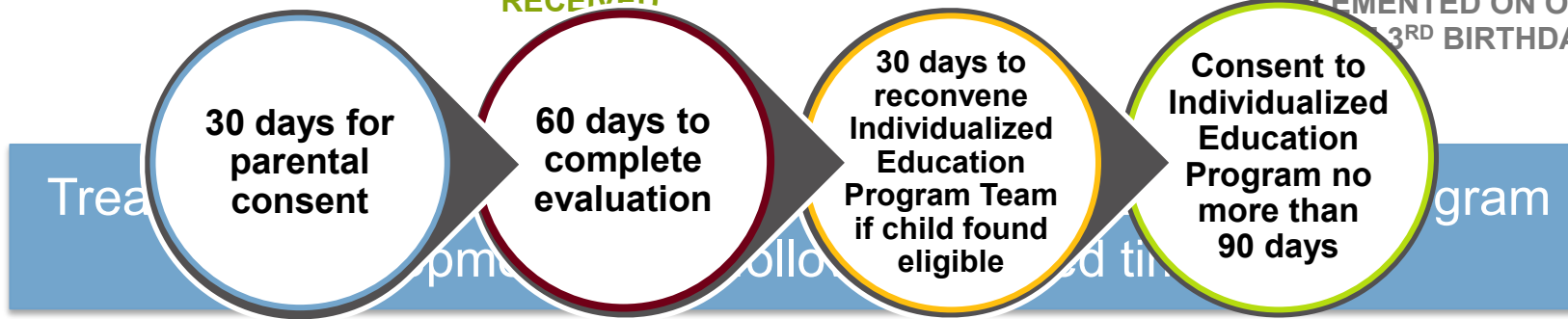
DISTRICT HAS 60 DAYS TO COMPLETE AN EVALUATION ONCE PARENT CONSENT IS RECEIVED



TREATED AS PARENT REFERRAL AND FOLLOW MANDATED TIMELINES



INDIVIDUALIZED EDUCATION PROGRAM IS NOT REQUIRED TO BE DEVELOPED AND IMPLEMENTED ON OR BEFORE THE CHILD'S 3RD BIRTHDAY





Knowledge Check

Jason's parents have been noticing some developmental concerns and decide to contact Early Intervention for a referral. Jason is referred to Early Intervention on *March 16th, 2020*. Jason's third birthday will occur on *May 15th, 2020*.

Hint: There are 60 days from referral to Jason's 3rd birthday



Knowledge Check

Who must conduct the initial evaluation?

Part C

When must district be notified?

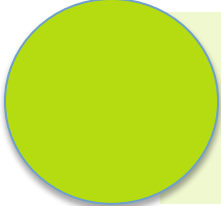
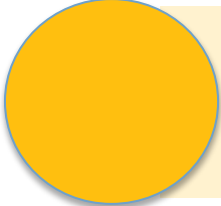
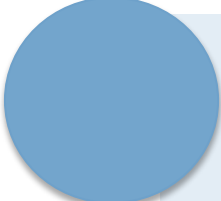
As soon as possible

Individualized Education Program before third birthday?

Yes



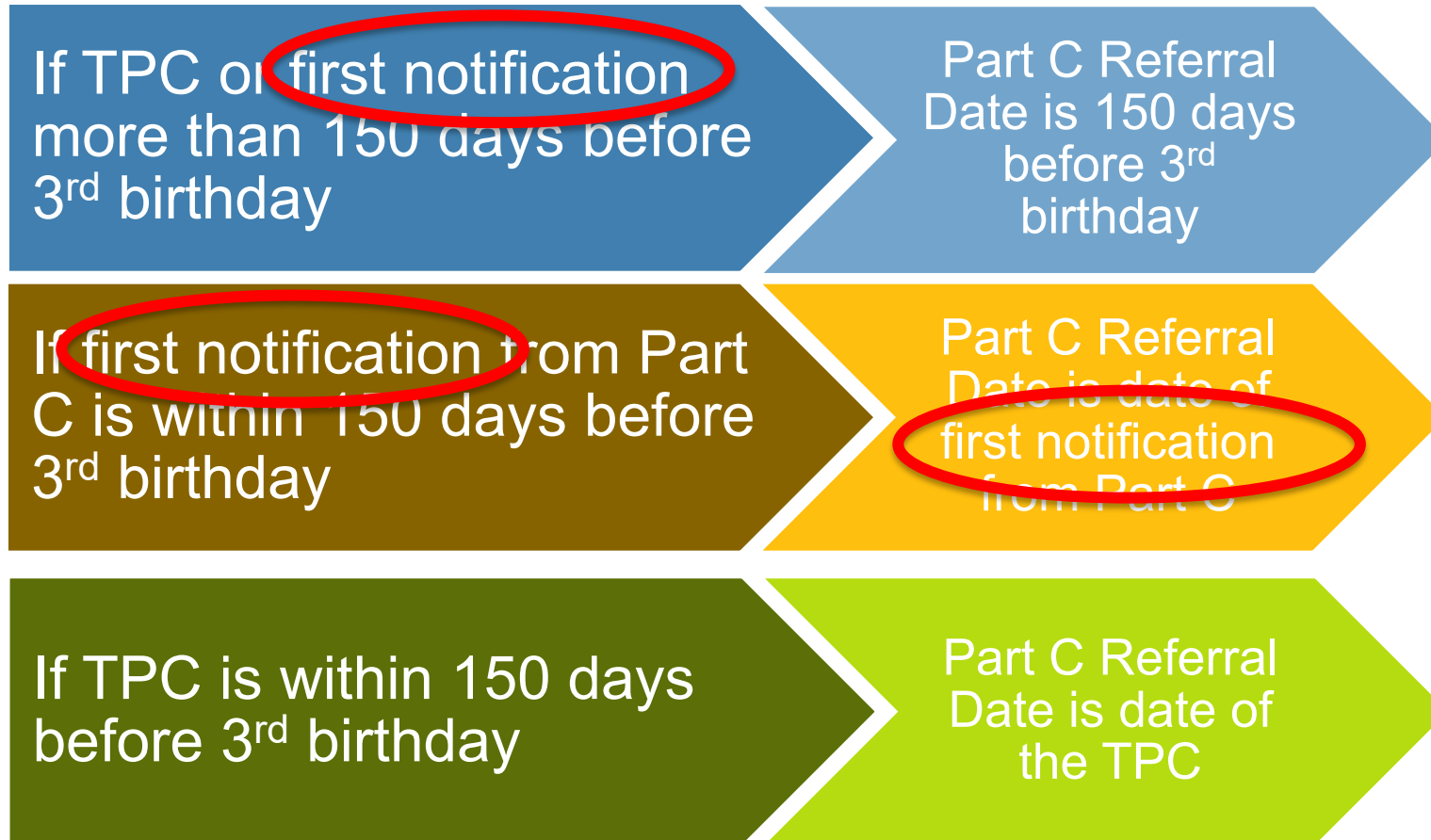
Local Education Agency Reporting Responsibilities

-  District of Residence reports events to EMIS
-  Preschool Transition Conference triggers Indicator 12 calculation
-  No disability suspected then do NOT report a special education event.



Part C Referral Date

Part C referral date will be the earliest of the following:





Local Education Agency Reporting Responsibilities

Initial Evaluation Team Report confirms disability

Initial Individualized Education Program developed and implemented on or before 3rd birthday

Implemented means that the Individualized Education Program has been signed **AND** all identified services begin on or before the child's third birthday!



All dates reported should depict what is truly taking place and when it is taking place



Calculation Used to Determine Compliance

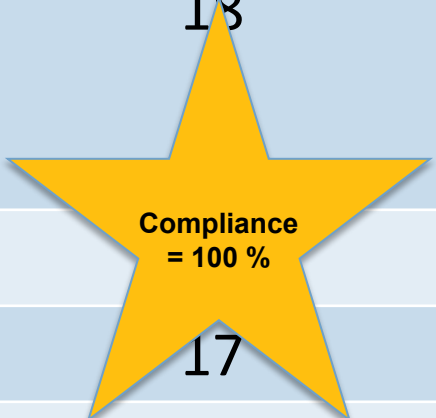
Calculation

of children found eligible for Part B who have an IEP developed and implemented by their third birthdays

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Total # of children found eligible for Part B

Step	Description	Data
A	Total # of Children from Part C who are eligible for Part B services	18
B	IIEP's Late	
C = A - B	IIEP's On Time	17
D = C / A	Percent Compliant	(17/18) = 94.44 %





Noncompliance Reason Codes

UNEXCUSED REASONS

- ** Not Applicable
- 01 No Identified Reason
Includes weather related delays
- 02 Staff Not Available
Summer Months
- 03 Staff Not Available
School Year
- 04 Scheduling conflicts
with family

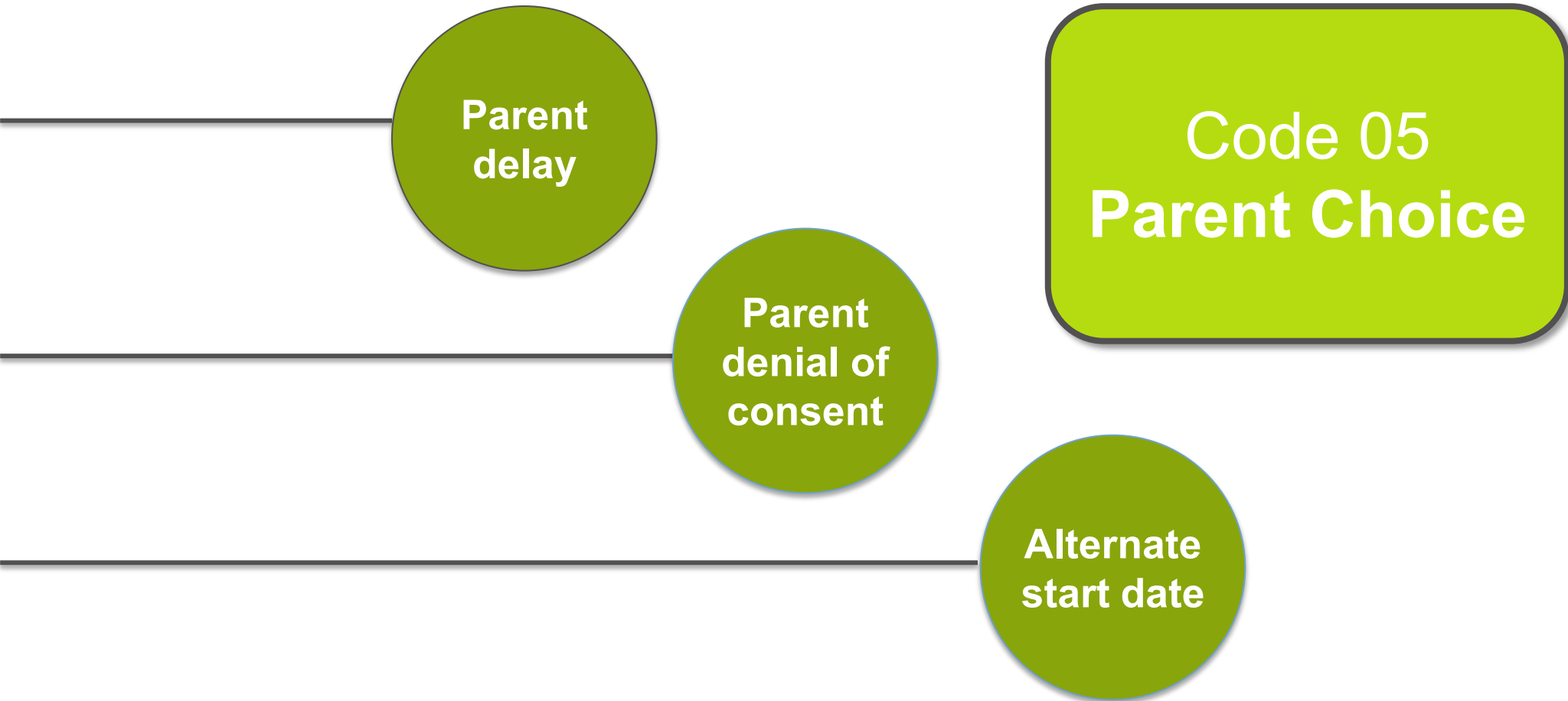
EXCUSED REASONS

- 05 Parental Choice
- 06 Parent Refused Consent
(OR repeatedly didn't show up at
scheduled meeting)
- 07 Child's Health
- 09 District in compliance
with due process timelines,
but incorrect/missing data
reported in EMIS in a prior
reporting period

Remember: always report true and accurate depictions of events -
what is *actually* happening!



Possible Reasons For Late Individualized Education Program





Possible Reasons For Late Individualized Education Program

Withdraw
consent

Refusal
to make
child
available

Refuse
consent

Code 06
Parent Refused
Consent



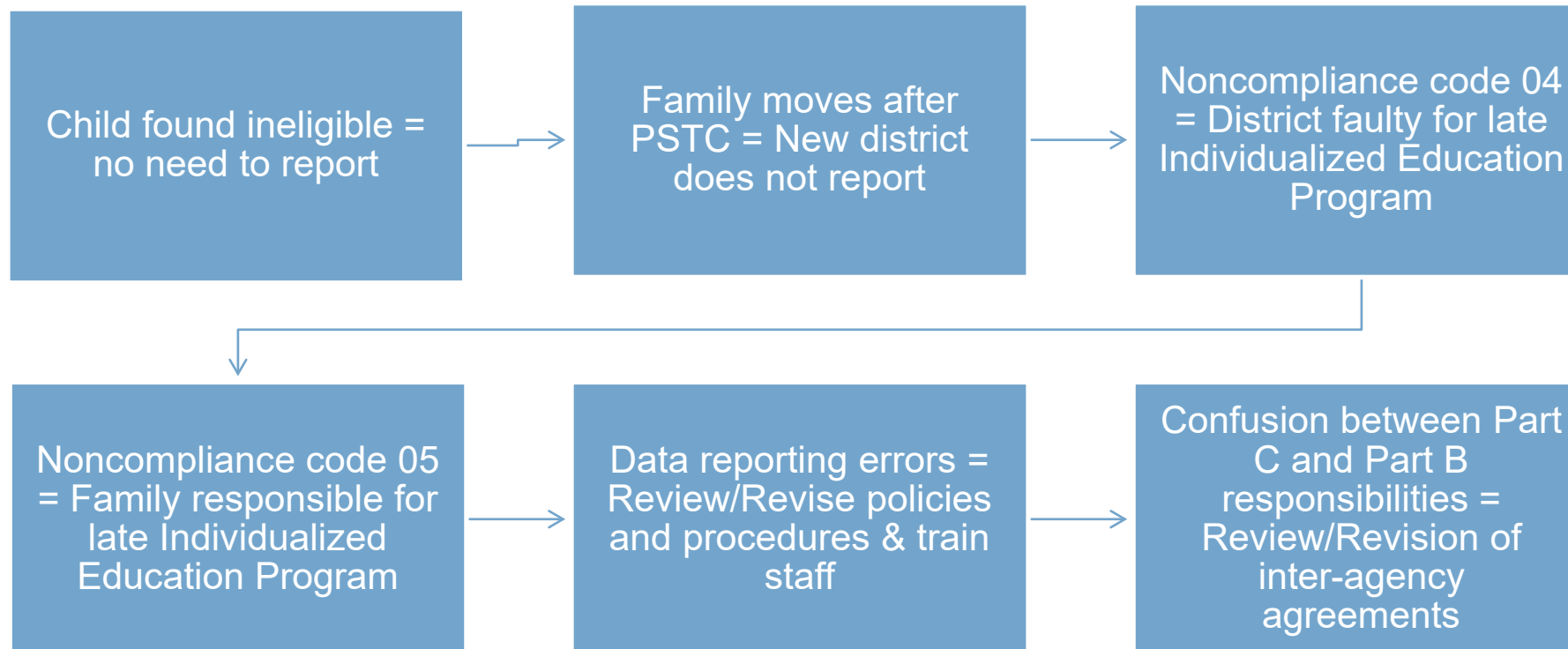
Possible Reasons For Late Individualized Education Program

Illness
or
hospitalization

Code 07
Child's Health

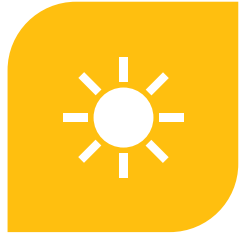


Common Mistakes





3rd Birthdays During Breaks



SUMMER

**Must Consider
Extended
School Year
Services**



LEAP YEAR

**Planning ahead to ensure compliance- if
Individualized Education Program is late a
noncompliance code and PR-01 must be
documented**



WEEKENDS



SCHEDULED
BREAKS



Scenarios

IEP Meeting Date	Last day of school in this year	Extended School Year Provided	Third Birthday	First day of school	Date services begin	Non-compliance code entered	Indicator 12 finding?
May 1 st	May 28th	No	June 1 st	August 1 st	August 1 st	Yes, 05- Parental Choice- the family, as part of the team, decided to wait and start services when school began and a PR-01 was issued detailing the decision	No This scenario would be compliant

IEP= Individualized Education Program



Scenarios

IEP Meeting Date	Last day of school this year	Extended School Year Provided	Third Birthday	First day of school	Date services begin	Non-compliance code entered	Indicator 12 finding?
May 1 st	May 28 th	No	June 1 st	August 1 st	August 1 st	None	Yes

IEP= Individualized Education Program



Scenarios

IEP Meeting Date	Last day of school this year	Extended School Year Provided	Third Birthday	First day of school	Date services begin	Non-compliance code entered	Indicator 12 finding?
May 1 st	May 28 th	Yes	June 1 st	August 1 st	June 1 st	N/A	No This scenario would be compliant

IEP= Individualized Education Program



Scenarios

IEP Meeting Date	Last day of school this year	Extended School Year Provided	Third Birthday	First day of school	Date services begin	Non-compliance code entered	Indicator 12 finding?
May 1 st	May 28 th	Disputed	June 1 st	August 1 st	The family would like services to begin on June 1 st (<i>child's third birthday</i>) and did not sign the IEP due to the disagreement	No	This situation would involve Dispute Resolution, a case where the family and the district disagree

IEP= Individualized Education Program



Scenarios

IEP Meeting Date	Extended School Year Provided	Third Birthday	First day of school	Date services begin	Non-compliance code entered	Indicator 12 finding?
March 25 th	No	March 29 th (Sunday)	N/A	March 26 th	N/A	No

IEP= Individualized Education Program



Scenarios

IEP Meeting Date	Extended School Year Provided	Third Birthday	First day of school	Date services begin	Non-compliance code entered	Indicator 12 finding?
December 21 st	N/A	December 24 th (Over a holiday break)	N/A	January 2 nd	None and no PR-01 documented	X

IEP= Individualized Education Program



Scenarios

IEP Meeting Date	Extended School Year Provided	Third Birthday	First day of school	Date services begin	Non-compliance code entered	Indicator 12 finding?
October 14 th	N/A	October 16 th	N/A	October 20 th	Yes, 03 Staff not available- school year PR-01 documented to state the SLP was out sick until the 20 th	X

IEP= Individualized Education Program



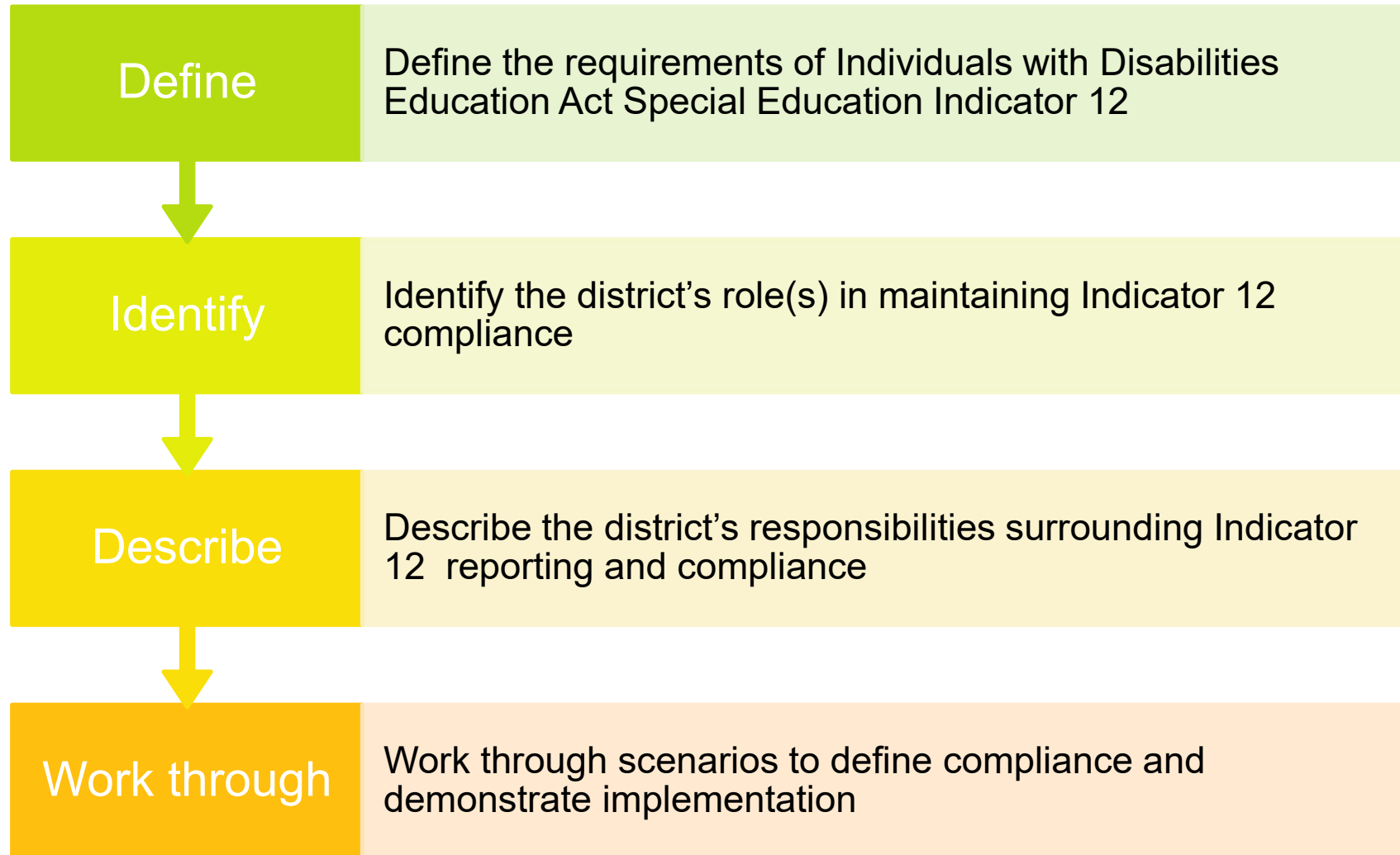
Scenarios

IEP Meeting Date	Extended School Year Provided	Third Birthday	First day of school	Date services begin	Non-compliance code entered	Indicator 12 finding?
May 27 th	No	June 8 th	August 17 th	August 17 th	Yes, 02 Staff not available during the summer months, but the PR-01 documented that the family wanted to wait until school began to start services	

IEP= Individualized Education Program



Now you should be able to:





Questions?

**For questions and more information
please contact:**

Sarah Coxson, M.Ed.

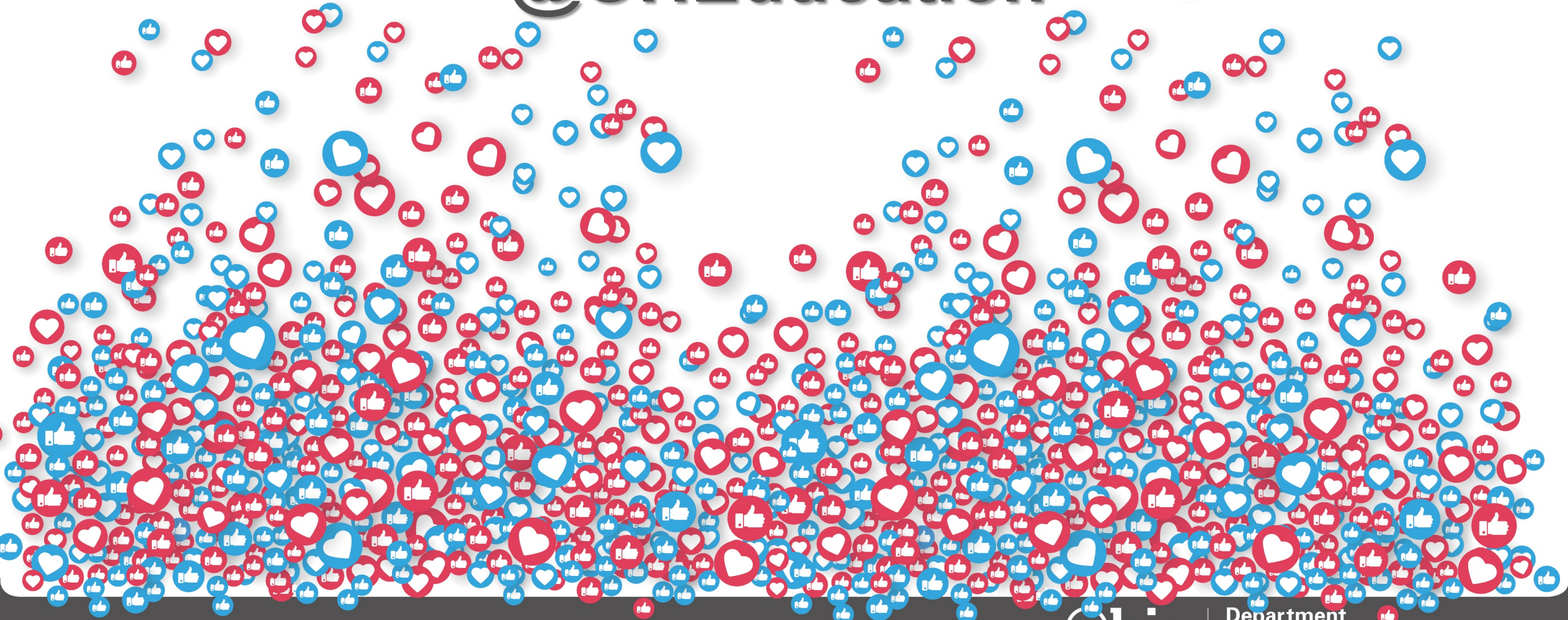
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Celebrate educators!

#OhioLovesTeachers